

ACATIS Fair Value Investment AG Engagements 01.10.2022 bis 31.12.2022

Agent Name	ISIN	Current Overall Norm-		Status	Latest Engagement	Number of Topics	ESG Area Breakdown
		Based Research Score	Engagement Cycle				
1 Accenture Plc	IE00B4BNMY34	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	1	Labour rights
2 Adani Enterprises Limited	INE423A01024	8	Q2 2022	Suspended	Q2 2022 (Suspended)	2	Overlapping (Environment, Human rights)
3 AES Andes SA	CL0002694637	8	Q2 2022	Suspended	Q2 2022 (Suspended)	1	Human rights
4 Alibaba Group Holding Limited	KYG017191142	7	Q3 2021	Reminder	Q3 2021 (Reminder)	1	Corruption
5 Anheuser-Busch InBev SA/NV	BE0974293251	8	Q3 2022	Suspended	Q3 2022 (Suspended)	1	Labour rights
6 Barclays PLC	GB0031348658	8	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Human rights
7 Barrick Gold Corporation	CA0679011084	8	Q3 2022	Ongoing Dialogue	Q3 2022 (Ongoing Dialogue)	4	Overlapping (Environment, Human rights)
8 Bayer AG	DE000BAY0017	7	Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Environment
9 Beowulf Mining Plc	GB0033163287	8	Q3 2022	Ongoing Dialogue	Q3 2022 (Ongoing Dialogue)	1	Human rights
10 Carnival Corporation	PA1436583006	7	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Environment
11 Carnival Plc	GB0031215220	7	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Environment
12 Carrefour SA	FR0000120172	6	Q2 2022	Suspended	Q2 2022 (Suspended)	1	Labour rights
13 China Three Gorges Corp.	CND0000004T5	8	Q3 2021	Closed	Q3 2021 (Closed)	1	Human rights
14 CMOG Group Ltd.	CNE100000114	8	Q2 2022	Suspended	Q2 2022 (Suspended)	1	Labour rights
15 Coca-Cola Europacific Partners Plc	GB00BDCPN049	8	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Labour rights
16 COFCO Sugar Holding Co. Ltd.	CNE000000LH8	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Labour rights
17 CoreCivic, Inc.	US21871N1019	8	Q3 2022	Suspended	Q3 2022 (Suspended)	2	Overlapping (Human rights, Labour rights)
18 Daqo New Energy Corp.	US23703Q2030	6	Q3 2021	Reminder	Q3 2021 (Reminder)	1	Labour rights
19 Empresas Copec SA	CLP7847L1080	8	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Human rights
20 Eni SpA	IT0003132476	10	Q3 2022	Suspended	Q3 2022 (Suspended)	1	Environment
21 Exelon Corporation	US30161N1019	7	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Corruption
22 Exxon Mobil Corporation	US30231G1022	10	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	4	Overlapping (Environment, Human rights)
23 FAST RETAILING CO., LTD.	JP3802300008	6	Q2 2022	Suspended	Q2 2022 (Suspended)	1	Labour rights
24 FCA US LLC	US17121HAA86	7	Q2 2022	Closed	Q2 2022 (Closed)	1	Environment
25 Formosa Petrochemical Corp.	TW0006505001	8	Q4 2022	Reminder	Q4 2022 (Reminder)	1	Environment
26 GCL Technology Holdings Limited	KYG3774X1088	6	Q3 2021	Reminder	Q3 2021 (Reminder)	1	Labour rights
27 G-III Apparel Group, Ltd.	US36237H1014	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Labour rights
28 Glencore Plc	JE00B4T3BW64	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	5	Overlapping (Corruption, Environment, Human rights)
29 Grupo Mexico S.A.B. de C.V.	MXP370841019	8	Q3 2022	Reminder	Q3 2022 (Reminder)	2	Overlapping (Environment, Human rights)
30 Hangzhou Hikvision Digital Technology Co., Ltd.	CNE100000PM8	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Human rights
31 Hankook & Company Co., Ltd.	KR7000240002	8	Q3 2022	Suspended	Q3 2022 (Suspended)	1	Labour rights
32 HANKOOK TIRE & TECHNOLOGY Co., Ltd.	KR7161390000	8	Q3 2022	Suspended	Q3 2022 (Suspended)	1	Labour rights
33 HYUNDAI ENGINEERING & CONSTRUCTION CO., LTD.	KR7000720003	8	Q2 2022	Suspended	Q2 2022 (Suspended)	1	Corruption
34 Iflytek Co., Ltd.	CNE100000B81	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Human rights
35 Imperial Oil Limited	CA4530384086	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	1	Environment
36 JBS SA	BR1BSSACNOR8	10	Q3 2022	Suspended	Q3 2022 (Suspended)	2	Labour rights
37 JinkoSolar Holding Co., Ltd.	US47759T1007	6	Q3 2021	Ongoing Dialogue	Q3 2021 (Ongoing Dialogue)	1	Labour rights
38 Kobe Steel, Ltd.	JP3289800009	8	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Environment
39 Kohls Corporation	US5002551043	6	Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Labour rights
40 Korian SE	FR0010386334	6	Q2 2022	Suspended	Q2 2022 (Suspended)	1	Human rights
41 Lands' End, Inc.	US51509F1057	6	Q3 2022	Ongoing Dialogue	Q3 2022 (Ongoing Dialogue)	1	Labour rights
42 Lens Technology Co., Ltd.	CNE100001YW7	6	Q3 2021	Reminder	Q3 2021 (Reminder)	1	Labour rights

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43 Levi Strauss & Co.	US52736R1023	6	Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Labour rights
44 LG Chem Ltd.	KR7051910008	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	1	Human rights
45 LG Electronics, Inc.	KR7066570003	6	Q3 2022	Suspended	Q3 2022 (Suspended)	1	Labour rights
46 Loomis AB	SE0014504817	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	1	Labour rights
47 Macy's, Inc.	US55616P1049	6	Q2 2022	Suspended	Q2 2022 (Suspended)	1	Labour rights
48 Meituan	KYG596691041	7	Q1 2022	Suspended	Q1 2022 (Suspended)	1	Corruption
49 Paramount Global	US92556H2067	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	1	Labour rights
50 PG&E Corporation	US69331C1080	7	Q4 2022	Reminder	Q4 2022 (Reminder)	1	Human rights
51 Porsche Automobil Holding SE	DE000PAH0038	7	Q4 2022	Reminder	Q4 2022 (Reminder)	1	Environment
52 Power Construction Corporation of China, Ltd.	CNE1000017G1	10	Q4 2021	Reminder	Q4 2021 (Reminder)	1	Environment
53 Renault SA	FR0000131906	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	1	Environment
54 Repsol SA	ES0173516115	8	Q4 2022	Suspended	Q4 2022 (Suspended)	2	Overlapping (Environment, Human rights)
55 Samsung Electronics Co., Ltd.	KR7005930003	7	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	2	Overlapping (Corruption, Labour rights)
56 Shandong Gold Mining Co., Ltd.	CNE1000036N7	8	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Environment
57 SK Inc.	KR7034730002	10	Q4 2021	Suspended	Q4 2021 (Suspended)	1	Human rights
58 Sony Group Corp.	JP3435000009	6	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Labour rights
59 Starbucks Corporation	US8552441094	8	Q3 2022	Suspended	Q3 2022 (Suspended)	1	Labour rights
60 Stellantis NV	NL00150001Q9	7	Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Environment
61 The AES Corporation	US00130H1059	8	Q2 2022	Suspended	Q2 2022 (Suspended)	1	Human rights
62 The Coca-Cola Company	US1912161007	8	Q4 2022	Reminder	Q4 2022 (Reminder)	2	Labour rights
63 The GEO Group, Inc.	US36162J1060	8	Q3 2022	Suspended	Q3 2022 (Suspended)	2	Overlapping (Human rights, Labour rights)
64 Tongling Nonferrous Metals Group Co., Ltd.	CNE000000529	8	Q2 2022	Suspended	Q2 2022 (Suspended)	1	Environment
65 Tyson Foods, Inc.	US9024941034	8	Q4 2022	Reminder	Q4 2022 (Reminder)	1	Labour rights
66 Uber Technologies, Inc.	US90353T1007	8	Q3 2021	Suspended	Q3 2021 (Suspended)	3	Overlapping (Human rights, Labour rights)
67 Verizon Communications Inc.	US92343V1044	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	1	Labour rights
68 Volcan Compania Minera S.A.A.	PEP648014202	8	Q4 2022	Reminder	Q4 2022 (Reminder)	1	Environment
69 Volkswagen AG	DE0007664039	7	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Environment
70 Woodside Energy Group Ltd.	AU0000224040	8	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Environment
71 Xiamen Meiya Pico Information Co., Ltd.	CNE100001120	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Human rights
72 Zhejiang Dahua Technology Co. Ltd.	CNE100000B14	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Human rights