

## ACATIS Fair Value Investment AG Engagements 01.10.2021 bis 30.06.2022

Agent Name	ISIN	Current Overall Norm-Based Research Score	Engagement Cycle	Status	Latest Engagement	Number of Topics	ESG Area Breakdown
1 Accenture Plc	IE00B4BNMY34		8 Q3 2021	Suspended	Q3 2021 (Suspended)	1	Labour rights
2 Ackermans & van Haaren NV	BE0003764785		5 Q4 2021	Closed	Q4 2021 (Closed)	1	Corruption
3 Adani Enterprises Limited	INE423A01024		8 Q2 2022	Reminder	Q2 2022 (Reminder)	2	Overlapping (Environment, Human rights)
4 Adani Ports & Special Economic Zone Ltd.	INE742F01042		8 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Human rights
5 Aegea Saneamento e Participacoes SA	BRAEGPDBS053		8 Q1 2022	Reminder	Q1 2022 (Reminder)	1	Corruption
6 AES Andes SA	CL0002694637		8 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Human rights
7 Airbus SE	NL0000235190		7 Q3 2021	Suspended	Q3 2021 (Suspended)	9	Overlapping (Corruption, Labour rights)
8 Alibaba Group Holding Ltd.	KYG017191142		7 Q3 2021	Reminder	Q3 2021 (Reminder)	1	Corruption
9 Almirall SA	ES0157097017		2 Q4 2021	Closed	Q4 2021 (Closed)	1	Corruption
10 Alphabet Inc.	US02079K3059		8 Q3 2021	Ongoing Dialogue	Q3 2021 (Ongoing Dialogue)	3	Overlapping (Corruption, Human rights, Labour rights)
11 Amazon.com, Inc.	US0231351067		10 Q1 2021	Suspended	Q3 2022 (Nominated Co-sign Open)	5	Labour rights
12 Aziyo Biologics, Inc.	US05479K1060		8 Q4 2021	Ongoing Dialogue	Q4 2021 (Ongoing Dialogue)	1	Human rights
13 Banglalink Digital Communications Ltd.	US059897AA67		8 Q4 2021	Ongoing Dialogue	Q4 2021 (Ongoing Dialogue)	1	Labour rights
14 BASF SE	DE000BASF111		5 Q4 2021	Closed	Q4 2021 (Closed)	1	Environment
15 Bayer AG	DE000BAY0017		7 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Environment
16 Bharat Heavy Electricals Limited	INE257A01026		10 Q1 2022	Ongoing Dialogue	Q1 2022 (Ongoing Dialogue)	1	Environment
17 Biora Therapeutics, Inc.	US74319F1075		7 Q1 2022	Suspended	Q1 2022 (Suspended)	1	Corruption
18 BLD Plantation Bhd.	MYL506900009		8 Q4 2021	Reminder	Q4 2021 (Reminder)	1	Environment
19 Cargill, Inc.	USU141781042		8 Q4 2021	Suspended	Q4 2021 (Suspended)	1	Environment
20 Carrefour SA	FR0000120172		6 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Labour rights
21 China Molybdenum Co., Ltd.	CNE100000114		8 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Labour rights
22 China National Petroleum Corp.	CND100002X16		8 Q4 2021	Reminder	Q4 2021 (Reminder)	1	Environment
23 China Three Gorges Corp.	CND100054NNO		8 Q3 2021	Reminder	Q3 2021 (Reminder)	3	Overlapping (Environment, Human rights)
24 China Yangtze Power Co., Ltd.	CNE000001G87		8 Q3 2021	Suspended	Q3 2021 (Suspended)	1	Environment
25 CNOOC Limited	HK0883013259		8 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Environment
26 Coal India Ltd.	INES22F01014		8 Q4 2021	Reminder	Q4 2021 (Reminder)	2	Overlapping (Environment, Labour rights)
27 Companhia de Saneamento do Parana	BRSAPRACNPR6		10 Q3 2021	Suspended	Q3 2021 (Suspended)	1	Environment
28 Daqo New Energy Corp.	US23703Q2030		6 Q3 2021	Reminder	Q3 2021 (Reminder)	1	Labour rights
29 Delta Air Lines, Inc.	US2473617023		8 Q1 2022	Suspended	Q1 2022 (Suspended)	1	Labour rights
30 Ecopetrol SA	COC04PA00016		8 Q3 2021	Reminder	Q3 2021 (Reminder)	2	Overlapping (Environment, Labour rights)
31 Export-Import Bank of India	INES14E08BE9		10 Q1 2022	Reminder	Q1 2022 (Reminder)	1	Environment
32 FAST RETAILING CO., LTD.	JP3802300008		6 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Labour rights
33 FCA US LLC	US17121HAA86		7 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Environment
34 FGV Holdings Bhd.	MYL522200004		8 Q1 2022	Ongoing Dialogue	Q1 2022 (Ongoing Dialogue)	1	Environment
35 Freeport-McMoRan, Inc.	US35671D8570		8 Q1 2020/ Q1 2022	Ongoing Dialogue	Q1 2022 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
36 Gazprom Neft PJSC	RU0009062467		8 Q4 2021	Suspended	Q4 2021 (Suspended)	1	Environment
37 GCL Technology Holdings Limited	KYG3774X1088		6 Q3 2021	Reminder	Q3 2021 (Reminder)	1	Labour rights
38 Google LLC	US38259PAD42		8 Q3 2021	Ongoing Dialogue	Q3 2021 (Ongoing Dialogue)	3	Overlapping (Corruption, Human rights, Labour rights)
39 HUGO BOSS AG	DE000A1PHFF7		8 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	2	Labour rights
40 HYUNDAI ENGINEERING & CONSTRUCTION CO., LTD.	KR7000720003		8 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Corruption
41 ING Bank NV	NL0006420150		8 Q1 2022	Suspended	Q1 2022 (Suspended)	1	Corruption
42 ING Groep NV	NL0011821202		8 Q1 2022	Suspended	Q1 2022 (Suspended)	1	Corruption
43 JinkoSolar Holding Co., Ltd.	US47759T1007		6 Q3 2021	Reminder	Q3 2021 (Reminder)	1	Labour rights
44 Kangmei Pharmaceutical Co., Ltd.	CNE0000017M1		7 Q1 2022	Reminder	Q1 2022 (Reminder)	1	Corruption
45 Kohls Corporation	US5002551043		6 Q2 2022	Reminder	Q2 2022 (Reminder)	1	Labour rights
46 Korian SA	FR0010386334		6 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Human rights
47 KT Corp.	KR7030200000		8 Q1 2022	Ongoing Dialogue	Q1 2022 (Ongoing Dialogue)	1	Corruption
48 Lens Technology Co., Ltd.	CNE100001YW7		6 Q3 2021	Reminder	Q3 2021 (Reminder)	1	Labour rights
49 Levi Strauss & Co.	US52736R1023		6 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Labour rights
50 Luckin Coffee, Inc.	US54951L1098		7 Q1 2022	Reminder	Q1 2022 (Reminder)	1	Corruption
51 Lyft, Inc.	US55087P1049		8 Q1 2022	Reminder	Q1 2022 (Reminder)	1	Labour rights
52 Macy's, Inc.	US55616P1049		6 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Labour rights
53 Meituan	KYG596691041		7 Q1 2022	Ongoing Dialogue	Q1 2022 (Ongoing Dialogue)	1	Corruption

## ACATIS Fair Value Investment AG Engagements 01.10.2021 bis 30.06.2022

Agent Name	ISIN	Current Overall Norm-Based Research Score	Engagement Cycle	Status	Latest Engagement	Number of Topics	ESG Area Breakdown
54 Meta Platforms, Inc.	US30303M1027		8 Q3 2021	Suspended	Q3 2021 (Suspended)	2	Labour rights
55 Mitsubishi Electric Corp.	JP3902400005		5 Q1 2022	Closed	Q1 2022 (Closed)	1	Labour rights
56 Motherson Sumi Systems Limited	INE775A01035		8 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Labour rights
57 NLC India Ltd.	INE589A01014		8 Q4 2021	Reminder	Q4 2021 (Reminder)	1	Labour rights
58 NTPC Limited	INE733E01010		10 Q1 2022	Reminder	Q1 2022 (Reminder)	1	Environment
59 Petroleo Brasileiro SA	BRPETRACNPR6		8 Q4 2021	Suspended	Q4 2021 (Suspended)	1	Environment
60 Petroleos Del Peru - Petroperu SA	PEP496001202		8 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Environment
61 Petroleos Mexicanos SA	US71654QDD16		8 Q4 2021	Suspended	Q4 2021 (Suspended)	2	Overlapping (Environment, Labour rights)
62 POSCO Holdings Inc.	KR7005490008		10 Q3 2021	Suspended	Q3 2021 (Suspended)	4	Overlapping (Environment, Human rights, Labour rights)
63 POSCO INTERNATIONAL Corp.	KR7047050000		10 Q3 2021	Suspended	Q3 2021 (Suspended)	2	Overlapping (Environment, Human rights)
64 Power Construction Corporation of China, Ltd.	CNE1000017G1		10 Q4 2021	Reminder	Q4 2021 (Reminder)	1	Environment
65 PT Pertamina (Persero)	US69369EAB56		8 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Environment
66 PT Sampoerna Agro Tbk	ID1000106107		7 Q1 2022	Suspended	Q1 2022 (Suspended)	1	Environment
67 PVH Corp.	US6936561009		6 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Labour rights
68 Ratch Group Public Co. Ltd.	TH0637010Y00		10 Q4 2021	Suspended	Q4 2021 (Suspended)	1	Human rights
69 Rio Tinto Limited	AU000000RIO1		8 Q1 2022	Suspended	Q1 2022 (Suspended)	1	Human rights
70 Rio Tinto Plc	GB0007188757		8 Q1 2022	Suspended	Q1 2022 (Suspended)	1	Human rights
71 SAP SE	DE0007164600		5 Q4 2021	Closed	Q4 2021 (Closed)	1	Corruption
72 Securitas AB	SE0000163594		8 Q4 2021	Ongoing Dialogue	Q4 2021 (Ongoing Dialogue)	1	Human rights
73 Serco Group Plc	GB0007973794		10 Q3 2021	Suspended	Q3 2021 (Suspended)	1	Human rights
74 Shell Plc	GB00BP6GMXD84		10 Q4 2021	Suspended	Q3 2022 (Nominated Co-sign Open)	2	Overlapping (Environment, Labour rights)
75 Shikun & Binui Ltd.	IL0010819428		6 Q1 2022	Reminder	Q1 2022 (Reminder)	1	Corruption
76 SIPEF SA/NV	BE0003898187		8 Q3 2021	Suspended	Q3 2021 (Suspended)	1	Labour rights
77 SK Inc.	KR7034730002		10 Q4 2021	Ongoing Dialogue	Q4 2021 (Ongoing Dialogue)	1	Human rights
78 SLC Agricola SA	BRLSCEACNOR2		8 Q3 2021	Reminder	Q3 2021 (Reminder)	1	Environment
79 Stellantis NV	NL00150001Q9		7 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Environment
80 STRABAG SE	AT000000STR1		8 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Human rights
81 Sumitomo Chemical Co., Ltd.	JP3401400001		10 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Environment
82 Sumitomo Corp.	JP3404600003		8 Q1 2022	Suspended	Q1 2022 (Suspended)	1	Labour rights
83 Suncor Energy Inc.	CA8672241079		8 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Environment
84 Swedbank AB	SE0000242455		5 Q4 2021	Closed	Q4 2021 (Closed)	1	Corruption
85 Target Corporation	US87612E1064		6 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Labour rights
86 Telefonaktiebolaget LM Ericsson	SE0000108656		8 Q4 2021	Ongoing Dialogue	Q4 2021 (Ongoing Dialogue)	4	Corruption
87 TENMA Corp.	JP3547800007		8 Q4 2021	Ongoing Dialogue	Q4 2021 (Ongoing Dialogue)	1	Corruption
88 Teollisen yhteistyön rahasto Oy	FI4000068804		8 Q3 2021	Reminder	Q3 2021 (Reminder)	1	Human rights
89 Tesla, Inc.	US88160R1014		10 Q4 2021	Ongoing Dialogue	Q4 2021 (Ongoing Dialogue)	1	Labour rights
90 The AES Corporation	US00130H1059		8 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Human rights
91 The Brinks Company	US1096961040		8 Q1 2022	Suspended	Q1 2022 (Suspended)	1	Labour rights
92 The Kansai Electric Power Co., Inc.	JP3228600007		8 Q4 2021	Reminder	Q4 2021 (Reminder)	1	Corruption
93 Titas Gas Transmission & Distribution Co. Ltd.	BD0311TGA501		8 Q1 2022	Reminder	Q1 2022 (Reminder)	1	Human rights
94 Tokyo Electric Power Co. Holdings, Inc.	JP3585800000		8 Q2 2022	Suspended	Q2 2022 (Suspended)	1	Environment
95 Tongling Nonferrous Metals Group Co., Ltd.	CNE000000529		8 Q2 2022	Reply Pending	Q2 2022 (Reply Pending)	1	Environment
96 Top Glove Corp. Bhd.	MYL71130O003		8 Q2 2021/ Q1 2022	Suspended	Q1 2022 (Suspended)	1	Labour rights
97 Transneft PJSC	RU0009091573		8 Q4 2021	Suspended	Q4 2021 (Suspended)	1	Environment
98 Uber Technologies, Inc.	US90353T1007		8 Q3 2021	Ongoing Dialogue	Q3 2021 (Ongoing Dialogue)	3	Overlapping (Human rights, Labour rights)
99 Universal Electronics Inc.	US9134831034		6 Q1 2022	Reminder	Q1 2022 (Reminder)	1	Labour rights
100 Urban Outfitters, Inc.	US9170471026		6 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Labour rights
101 VEON Holdings BV	US92718WAE93		8 Q4 2021	Ongoing Dialogue	Q4 2021 (Ongoing Dialogue)	1	Labour rights
102 VEON Ltd.	BMG9349W1038		8 Q4 2021	Ongoing Dialogue	Q4 2021 (Ongoing Dialogue)	1	Labour rights
103 Walmart Inc.	US9311421039		8 Q2 2022	Ongoing Dialogue	Q2 2022 (Ongoing Dialogue)	1	Labour rights