

ACATIS Fair Value Investment AG Engagements 01.01.2023 bis 31.03.2023

Agent Name	ISIN	Involvement	Signature	Current Overall Norm-			Latest Engagement	Number of Topics	ESG Area Breakdown
				Based Research Score	Engagement Cycle	Status			
1 Barrick Gold Corporation	CA0679011084	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2022	Suspended	Q3 2022 (Suspended)	4	Overlapping (Environment, Human rights)
2 Beowulf Mining Plc	GB0033163287	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2022	Ongoing Dialogue	Q3 2022 (Ongoing Dialogue)	1	Human rights
3 Berkshire Hathaway Inc.	US0846707026	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	1	Human rights
4 BHP Group Limited	AU000000BHP4	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	4	Overlapping (Environment, Human rights, Labour rights)
5 Chevron Corporation	US1667641005	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Reminder	Q1 2023 (Reminder)	2	Overlapping (Environment, Labour rights)
6 China Gas Holdings Limited	BMG2109G1033	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Human rights
7 COFCO Sugar Holding Co. Ltd.	CNE000000LH8	Opted-In	ACATIS Fair Value Investment AG	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Labour rights
8 Deutsche Telekom AG	DE0005557508	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	2	Overlapping (Human rights, Labour rights)
9 Electricite de France SA	FR0010242511	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Reminder	Q1 2023 (Reminder)	3	Overlapping (Corruption, Environment)
10 Elsewedy Electric Co.	EGS3G021C014	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Environment
11 Empresas Copec SA	CLP7847L1080	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2022	Suspended	Q3 2022 (Suspended)	1	Human rights
12 Exxon Mobil Corporation	US30231G1022	Opted-In	ACATIS Fair Value Investment AG	10	Q4 2022	Suspended	Q4 2022 (Suspended)	4	Overlapping (Environment, Human rights)
13 First Quantum Minerals Ltd.	CA3359341052	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Reminder	Q1 2023 (Reminder)	1	Environment
14 Formosa Petrochemical Corp.	TW0006505001	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Reminder	Q4 2022 (Reminder)	1	Environment
15 Fortescue Metals Group Ltd.	AU000000FMG4	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	1	Labour rights
16 G-III Apparel Group, Ltd.	US36237H1014	Opted-In	ACATIS Fair Value Investment AG	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Labour rights
17 Glencore Plc	JE00B4T3BW64	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Suspended	Q4 2022 (Suspended)	2	Overlapping (Corruption, Environment)
18 Grupo Mexico S.A.B. de C.V.	MXP370841019	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2022	Reminder	Q3 2022 (Reminder)	2	Overlapping (Environment, Human rights)
19 Hangzhou Hikvision Digital Technology Co., Ltd.	CNE100000PM8	Opted-In	ACATIS Fair Value Investment AG	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Human rights
20 Hino Motors, Ltd.	JP3792600003	Opted-In	ACATIS Fair Value Investment AG	7	Q1 2023	Reminder	Q1 2023 (Reminder)	1	Environment
21 Iflytek Co., Ltd.	CNE100000B81	Opted-In	ACATIS Fair Value Investment AG	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Human rights
22 Imperial Oil Limited	CA4530384086	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	1	Environment
23 JBS SA	BRJBSACNOR8	Opted-In	ACATIS Fair Value Investment AG	10	Q3 2022	Suspended	Q3 2022 (Suspended)	2	Labour rights
24 Jindal Steel & Power Ltd.	INE749A01030	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Human rights
25 Korea Electric Power Corp.	KR7015760002	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Suspended	Q1 2023 (Suspended)	1	Human rights
26 Lands' End, Inc.	US51509F1057	Opted-In	ACATIS Fair Value Investment AG	6	Q3 2022	Suspended	Q3 2022 (Suspended)	1	Labour rights
27 LG Chem Ltd.	KR7051910008	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Human rights
28 Loomis AB	SE0014504817	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Labour rights
29 Marriott International, Inc.	US5719032022	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	1	Human rights
30 Mitsui O.S.K. Lines, Ltd.	JP3362700001	Opted-In	ACATIS Fair Value Investment AG	6	Q1 2023	Suspended	Q1 2023 (Suspended)	1	Environment
31 Paramount Global	US92556H2067	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Labour rights
32 PG&E Corporation	US69331C1080	Opted-In	ACATIS Fair Value Investment AG	7	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Human rights
33 Porsche Automobil Holding SE	DE000PAH0038	Opted-In	ACATIS Fair Value Investment AG	7	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Environment
34 RATCH Group Public Company Limited	TH0637010Y00	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Reminder	Q1 2023 (Reminder)	1	Human rights
35 Rio Tinto Limited	AU000000RIO1	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	2	Overlapping (Environment, Labour rights)
36 Rio Tinto Plc	GB0007188757	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	2	Overlapping (Environment, Labour rights)
37 Samsung Electronics Co., Ltd.	KR7005930003	Opted-In	ACATIS Fair Value Investment AG	7	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Corruption
38 Shandong Gold Mining Co., Ltd.	CNE1000036N7	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Environment
39 TC Energy Corporation	CA87807B1076	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Human rights
40 Tesla, Inc.	US88160R1014	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	2	Overlapping (Human rights, Labour rights)
41 The Coca-Cola Company	US1912161007	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	2	Labour rights
42 The Star Entertainment Group Limited	AU0000005GR6	Opted-In	ACATIS Fair Value Investment AG	7	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Corruption
43 T-Mobile US, Inc.	US8725901040	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	2	Overlapping (Human rights, Labour rights)
44 Tokyo Gas Co., Ltd.	JP3573000001	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	1	Environment
45 Toyota Motor Corp.	JP3633400001	Opted-In	ACATIS Fair Value Investment AG	7	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Environment
46 Tyson Foods, Inc.	US9024941034	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Labour rights
47 Vedanta Limited	INE205A01025	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
48 Verizon Communications Inc.	US92343V1044	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Labour rights
49 Volcan Compania Minera S.A.A.	PEP648014202	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Environment
50 Xiamen Meiya Pico Information Co., Ltd.	CNE100001120	Opted-In	ACATIS Fair Value Investment AG	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Human rights
51 Zhejiang Dahua Technology Co. Ltd.	CNE100000BJ4	Opted-In	ACATIS Fair Value Investment AG	6	Q3 2022	Reminder	Q3 2022 (Reminder)	1	Human rights
52 Zijin Mining Group Co., Ltd.	CNE100000502	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	4	Overlapping (Environment, Human rights)