

ACATIS Fair Value Investment AG Engagements 01.04.2023 bis 30.06.2023

Agent Name	ISIN	Involvement	Signature	Current Overall Norm-Based Research Score	Engagement Cycle	Status	Latest Engagement	Number of Topics	ESG Area Breakdown
1 ABB Ltd.	CH0012221716	Opted-In	ACATIS Fair Value Investment AG	7	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Corruption
2 Alibaba Group Holding Limited	KYG017191142	Opted-In	ACATIS Fair Value Investment AG	7	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	1	Corruption
3 AltaGas Ltd.	CA0213611001	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Environment
4 Baidu, Inc.	KYG070341048	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Corruption
5 BHP Group Limited	AU000000BHP4	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	4	Overlapping (Environment, Human rights, Labour rights)
6 Bunge Limited	BMG169621056	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	1	Environment
7 Chevron Corporation	US1667641005	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Reminder	Q1 2023 (Reminder)	2	Overlapping (Environment, Labour rights)
8 China Gas Holdings Limited	BMG2109G1033	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Human rights
9 CK Power Public Company Limited	TH4536010Z09	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
10 Compass Group Plc	GB00BD6K4575	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Suspended	Q2 2023 (Suspended)	1	Labour rights
11 Consolidated Edison, Inc.	US2091151041	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Environment
12 Deutsche Telekom AG	DE0005557508	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	2	Overlapping (Human rights, Labour rights)
13 Ecopetrol SA	COC04PA00016	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	2	Overlapping (Environment, Labour rights)
14 Edison SpA	IT0003372205	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Environment
15 Electricite de France SA	FR0010242511	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Reminder	Q1 2023 (Reminder)	3	Overlapping (Corruption, Environment)
16 Elsewedy Electric Co.	EGS3G021C014	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Environment
17 Equitrans Midstream Corporation	US2946001011	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Environment
18 Experian Plc	GB00B19NLV48	Opted-In	ACATIS Fair Value Investment AG	7	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	2	Human rights
19 First Quantum Minerals Ltd.	CA3359341052	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Reminder	Q1 2023 (Reminder)	1	Environment
20 Fortescue Metals Group Ltd.	AU000000FMG4	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	1	Labour rights
21 Global Power Synergy Public Company Limited	TH6488010005	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	2	Overlapping (Environment, Human rights)
22 Hino Motors, Ltd.	JP3792600003	Opted-In	ACATIS Fair Value Investment AG	7	Q1 2023	Reminder	Q1 2023 (Reminder)	1	Environment
23 Indofood Agri Resources Ltd.	SG1U47933908	Opted-In	ACATIS Fair Value Investment AG	7	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	1	Labour rights
24 Jindal Steel & Power Ltd.	INE749A01030	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Human rights
25 Marriott International, Inc.	US5719032022	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	1	Human rights
26 Metallurgical Corporation of China Ltd.	CNE100000FF3	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Environment
27 Oil & Natural Gas Corp. Ltd.	INE213A01029	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	2	Overlapping (Environment, Human rights)
28 PG&E Corporation	US69331C1080	Opted-In	ACATIS Fair Value Investment AG	7	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Human rights
29 Philip Morris International Inc.	US7181721090	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Labour rights
30 Porsche Automobil Holding SE	DE000PAH0038	Opted-In	ACATIS Fair Value Investment AG	7	Q4 2022	Suspended	Q4 2022 (Suspended)	1	Environment
31 POSCO Holdings Inc.	KR7005490008	Opted-In	ACATIS Fair Value Investment AG	10	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	5	Overlapping (Environment, Human rights, Labour rights)
32 POSCO INTERNATIONAL Corp.	KR7047050000	Opted-In	ACATIS Fair Value Investment AG	10	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	4	Overlapping (Environment, Human rights, Labour rights)
33 PT Indofood Sukses Makmur Tbk	ID1000057003	Opted-In	ACATIS Fair Value Investment AG	7	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	1	Labour rights
34 PT Perusahaan Perkebunan London Sumatra Indonesia Tbk	ID1000118409	Opted-In	ACATIS Fair Value Investment AG	7	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	1	Labour rights
35 PT Salim Ivomas Pratama Tbk	ID1000119100	Opted-In	ACATIS Fair Value Investment AG	7	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	1	Labour rights
36 RATCH Group Public Company Limited	TH0637010Y00	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Reminder	Q1 2023 (Reminder)	1	Human rights
37 RGC Resources, Inc.	US74955L1035	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Environment
38 TC Energy Corporation	CA87807B1076	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Human rights
39 Tesla, Inc.	US88160R1014	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	2	Overlapping (Human rights, Labour rights)
40 The Coca-Cola Company	US1912161007	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2022	Ongoing Dialogue	Q4 2022 (Ongoing Dialogue)	2	Labour rights
41 The Star Entertainment Group Limited	AU0000005GR6	Opted-In	ACATIS Fair Value Investment AG	7	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Corruption
42 T-Mobile US, Inc.	US8725901040	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	2	Overlapping (Human rights, Labour rights)
43 Toyota Motor Corp.	JP3633400001	Opted-In	ACATIS Fair Value Investment AG	7	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Environment
44 Vedanta Limited	INE205A01025	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
45 Zijin Mining Group Co., Ltd.	CNE100000502	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	4	Overlapping (Environment, Human rights)