

ACATIS Fair Value Investment AG Engagements 01.10.2023 bis 31.12.2023

Agent Name	ISIN	Involvement	Signature	Current Overall Norm-Based Research Score	Engagement Cycle	Status	Latest Engagement	Number of Topics	ESG Area Breakdown
1 Sociedad Quimica y Minera de Chile SA	CLP8716X1082	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
2 Baidu, Inc.	KYG070341048	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Corruption
3 Anglogold Ashanti Plc	GB00BRXH2664	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	1	Human rights
4 Companhia Siderurgica Nacional	BRC5NAACNOR6	Opted-In	ACATIS Fair Value Investment AG	7	Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	1	Environment
5 Southern Copper Corporation	US84265V1052	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Reminder	Q3 2023 (Reminder)	2	Overlapping (Environment, Human rights)
6 GCL Technology Holdings Limited	KYG3774X1088	Opted-In	ACATIS Fair Value Investment AG	6	Q3 2023	Reminder	Q3 2023 (Reminder)	1	Labour rights
7 Saipem SpA	IT0005495657	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Reminder	Q4 2023 (Reminder)	1	Environment
8 ArcelorMittal SA	LU1598757687	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	2	Environment
9 Bayer AG	DE000BAY0017	Opted-In	ACATIS Fair Value Investment AG	7	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	1	Environment
10 Electricite de France SA	CH1291809916	Opted-In	ACATIS Fair Value Investment AG	8	Q1 2023	Suspended	Q1 2023 (Suspended)	2	Overlapping (Corruption, Environment)
11 Enel SpA	IT0003128367	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Reminder	Q4 2023 (Reminder)	1	Human rights
12 Eni SpA	IT0003132476	Opted-In	ACATIS Fair Value Investment AG	10	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	3	Overlapping (Environment, Labour rights)
13 Telefonaktiebolaget LM Ericsson	SE0000108656	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Reminder	Q4 2023 (Reminder)	5	Corruption
14 Iberdrola SA	ES0144580Y14	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Suspended	Q3 2023 (Suspended)	1	Environment
15 Koninklijke Philips NV	NL0000009538	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Reminder	Q4 2023 (Reminder)	1	Human rights
16 Amgen Inc.	US0311621009	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Reminder	Q3 2023 (Reminder)	1	Human rights
17 Delta Air Lines, Inc.	US2473617023	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	1	Labour rights
18 McDonald's Corporation	US5801351017	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	1	Labour rights
19 Norfolk Southern Corporation	US6558441084	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	1	Environment
20 Philip Morris International Inc.	US7181721090	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	1	Labour rights
21 Walmart Inc.	US9311421039	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Reminder	Q4 2023 (Reminder)	2	Labour rights
22 Activision Blizzard, Inc.	US00507VAN91	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Reminder	Q4 2023 (Reminder)	1	Labour rights
23 Apple Inc.	US0378331005	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	1	Labour rights
24 Mattel, Inc.	US5770811025	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Suspended	Q4 2023 (Suspended)	1	Human rights
25 Schlumberger N.V.	AN8068571086	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Reminder	Q4 2023 (Reminder)	1	Environment
26 Starbucks Corporation	US8552441094	Opted-In	ACATIS Fair Value Investment AG	10	Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	1	Labour rights
27 United States Steel Corporation	US9129091081	Opted-In	ACATIS Fair Value Investment AG	7	Q4 2023	Reminder	Q4 2023 (Reminder)	1	Environment
28 The Kansai Electric Power Co., Inc.	JP3228600007	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	1	Environment
29 Tokyo Electric Power Co. Holdings, Inc.	JP3585800000	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	2	Environment
30 Pan American Silver Corp.	CA6979001089	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	1	Human rights
31 Teck Resources Limited	CA8787422044	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	1	Environment
32 Universal Health Services, Inc.	US9139031002	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	1	Human rights
33 Centrais Eletricas Brasileiras SA	BRELETAACNPB7	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
34 Petroleo Brasileiro SA	BRPETRACNPR6	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	1	Environment
35 Subsea 7 SA	LU0075646355	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Reminder	Q4 2023 (Reminder)	1	Environment
36 Enel Americas SA	CLP371861061	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	1	Human rights
37 Ecopetrol SA	COC04PA00016	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	2	Overlapping (Environment, Labour rights)
38 Oil & Natural Gas Corp. Ltd.	INE213A01029	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
39 Albemarle Corporation	US0126531013	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
40 Lundin Mining Corporation	CA5503721063	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	1	Environment
41 Ubisoft Entertainment SA	FR0000054470	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	2	Labour rights
42 CNOOC Limited	HK0883013259	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Reminder	Q3 2023 (Reminder)	2	Overlapping (Environment, Human rights)
43 Tesla, Inc.	US88160R1014	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	2	Overlapping (Human rights, Labour rights)
44 China Railway Construction Corporation Limited	CNE100000981	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Reminder	Q3 2023 (Reminder)	1	Human rights
45 Metallurgical Corporation of China Ltd.	CNE100000FF3	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Environment
46 RATCH Group Public Company Limited	TH0637010Y00	Opted-In	ACATIS Fair Value Investment AG	10	Q1 2023	Ongoing Dialogue	Q1 2023 (Ongoing Dialogue)	1	Human rights
47 Xinjiang Zhongtai Chemical Co., Ltd.	CNE000001PP0	Opted-In	ACATIS Fair Value Investment AG	6	Q3 2023	Reminder	Q3 2023 (Reminder)	1	Labour rights
48 Power Construction Corporation of China, Ltd.	CNE1000017G1	Opted-In	ACATIS Fair Value Investment AG	10	Q3 2023	Reminder	Q3 2023 (Reminder)	6	Overlapping (Environment, Human rights)
49 Xiamen CD Inc.	CNE000000WL7	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Reminder	Q3 2023 (Reminder)	1	Human rights
50 Companhia de Saneamento do Parana	BRSAPRACNPR6	Opted-In	ACATIS Fair Value Investment AG	10	Q3 2023	Reminder	Q3 2023 (Reminder)	1	Environment
51 AltaGas Ltd.	CA0213611001	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Suspended	Q2 2023 (Suspended)	1	Environment

Agent Name	ISIN	Involvement	Signature	Current Overall Norm-		Status	Latest Engagement	Number of Topics	ESG Area Breakdown
				Based Research Score	Engagement Cycle				
52 UBS Group AG	CH0244767585	Opted-In	ACATIS Fair Value Investment AG	7	Q3 2023	Suspended	Q3 2023 (Suspended)	1	Human rights
53 CK Power Public Company Limited	TH4536010209	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Ongoing Dialogue	Q2 2023 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
54 RGC Resources, Inc.	US7495511035	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Environment
55 The Chemours Company	US1638511089	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Reminder	Q3 2023 (Reminder)	1	Environment
56 Alamos Gold, Inc.	CA0115321089	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Suspended	Q3 2023 (Suspended)	1	Environment
57 Alphabet Inc.	US02079K3059	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	3	Overlapping (Corruption, Human rights, Labour rights)
58 Global Power Synergy Public Company Limited	TH6488010005	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	2	Overlapping (Environment, Human rights)
59 Baker Hughes Company	US05722G1004	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Reminder	Q4 2023 (Reminder)	1	Environment
60 Rede D'Or Sao Luiz SA	BRRDORACNOR8	Opted-In	ACATIS Fair Value Investment AG	8	Q4 2023	Reminder	Q4 2023 (Reminder)	1	Labour rights
61 Equitrans Midstream Corporation	US2946001011	Opted-In	ACATIS Fair Value Investment AG	8	Q2 2023	Reminder	Q2 2023 (Reminder)	1	Environment
62 Hoshine Silicon Industry Co., Ltd.	CNE100002V10	Opted-In	ACATIS Fair Value Investment AG	6	Q3 2023	Reminder	Q3 2023 (Reminder)	1	Labour rights
63 Neoenenergia SA	BRNEOEACNOR3	Opted-In	ACATIS Fair Value Investment AG	8	Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)